

**Form CRS – Client Relationship Summary****Item 1. Introduction**

Legacy Capital Partners, Inc. (“Legacy Capital” and/or “the firm”), is a Wisconsin corporation which became an SEC-registered Investment Adviser Firm on September 21, 1972. Legacy Capital offers you discretionary investment advisory services on a *fee-only* basis. The firm provides continuous investment advice and management based upon your needs and objectives. As Fiduciaries, who must adhere to the Investment Advisers Act of 1940, Legacy Capital has an overarching duty to act in your best interest and is also held to a higher legal standard than brokers.

Another type of financial professional that is available to provide services to you to manage your investments are brokers. Brokers execute trades for clients and are generally *paid commissions* when you buy or sell securities through them. Brokers may make recommendations about specific investments such as stocks, bonds, or mutual funds. If a broker sells you mutual funds, make sure to ask questions about what fees you will be charged for the purchase.

Free and simple tools are available to research firms and financial professionals at [www.investor.gov/CRS](http://www.investor.gov/CRS), which also provides educational materials about investment advisers, broker-dealers, and investing.

**Item 2. Relationships and Services*****“What investment services and advice can you provide me?”***

Legacy Capital offers discretionary investment advisory services to you that allow us to determine which securities are to be bought and sold in your account on a *fee-only* basis. Each client relationship is under the direct management of an adviser who will discuss and begin the process of analyzing your current investments, investment objective, risk tolerance and financial circumstances and allocate your assets appropriately. Legacy Capital will contact you periodically to determine whether there have been any changes in your personal financial circumstances, investment objective and/or risk tolerance.

Legacy Capital also participates in Wrap Fee Programs by providing certain portfolio management services through a Program Sponsor. A Wrap Fee Program involves a single fee (wrap fee) paid by the client to the Program Sponsor for all investment advisory, custodial, and other services. The Program Sponsor is responsible for calculating the wrap fee, a portion of which is forwarded to Legacy Capital as management fees. Clients are encouraged to review the Program Sponsor’s Disclosure Brochure/Document for a detailed description of fees, services and other features of the specific Wrap Fee Programs in which Legacy Capital participates.

Please see Part 2A of Form ADV ([www.legacycapitalpartners.net/files/ADV.pdf](http://www.legacycapitalpartners.net/files/ADV.pdf)) for more detailed information on the investment services and advice we provide.

Questions to Ask Us:

- Given my financial situation, should I choose an investment advisory service? Why or Why Not?
- How will you choose investments to recommend to me?

**Item 3. Fees, Costs, Conflicts and Standard of Conduct*****“What fees will I pay?”***

Legacy Capital’s compensation for services rendered are calculated and paid quarterly after services have been performed. The fee charged to you at the end of each quarter is calculated based on the fair market value of each account

at the end of each three-month period. Fees are based on the market value of the investment capital under supervision, including cash or its equivalent held for investment, computed at the annual rates stated below:

	<u>Total Value of Assets Under Management</u>		<u>Annual Percentage</u>
First	\$3,000,000	-	0.875 %
Above	\$3,000,000	-	0.625 %

The minimum annual fee is \$5,000. Fees may be negotiated higher or lower on the basis of unusual account circumstances. You will pay fees whether you make or lose money on your investments. Fees will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. In addition, the more assets you have in your account the more you will pay in fees and that Legacy Capital may therefore have an incentive to encourage you to increase the assets in your account.

***"What are your legal obligations to me when acting as my investment adviser?"***

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide to you. Here is an example to help you understand what this means: A conflict could arise where Legacy Capital has an incentive to provide a more favorable investment opportunity to clients with larger account values, or to clients that might be charged higher advisory fees. We seek to address this potential conflict by aggregating client transactions whenever possible, thereby prorating favorable investment opportunities across varying types of client accounts at the same time.

***"How do your financial professionals make money? How else does your firm make money and what conflicts of interest do you have?"***

Our financial professionals make money by charging you a quarterly fee based upon a percentage (%) of the market value of your assets placed under our management. Additional information about our conflicts of interest can be found in our Firm Brochure under item 11 which is available online at [www.legacycapitalpartners.net/files/ADV.pdf](http://www.legacycapitalpartners.net/files/ADV.pdf).

Questions to Ask Us:

- Help me understand how these fees and costs might affect my investments.
- If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

**Item 4. Disciplinary History**

***"Do you or your financial professionals have legal or disciplinary history?"***

No, our firm and our financial professionals do not have any legal or disciplinary history. You can visit [www.investor.gov/CRS](http://www.investor.gov/CRS) for a free and simple search tool to research your financial professional or our firm.

**Item 5. Additional Information**

Your investment adviser at Legacy Capital Partners can be reached at 414-271-1664 or by emailing us at [team@legacycapitalpartners.net](mailto:team@legacycapitalpartners.net). You can also visit our website at [www.legacycapitalpartners.net](http://www.legacycapitalpartners.net) for more information. If you have any concerns regarding your investment adviser you can contact Jeff Brigman at 414-271-1664. You can also find additional information about our firm's investment advisory services on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #105735.

Questions to Ask Us:

- Who is my primary contact person?
- Who can I talk to if I have concerns about how this person is treating me?